



Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL DIVERSITY
SERVING AS THE MEETING OF THE PARTIES TO
THE NAGOYA PROTOCOL ON ACCESS TO
GENETIC RESOURCES AND THE FAIR AND
EQUITABLE SHARING OF THE BENEFITS ARISING
FROM THEIR UTILIZATION

First meeting

Pyeongchang, Republic of Korea, 13–17 October 2014

Agenda item 15

PROGRAMME BUDGET FOR THE BIENNIUM FOLLOWING THE ENTRY INTO FORCE OF THE NAGOYA PROTOCOL

Draft recommendation submitted by the Chair

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization,

1. *Decides* that the financial rules and regulations and the decisions related to the administration of the budget, adopted by the Conference of the Parties to the Convention on Biological Diversity, be applied *mutatis mutandis* to the Nagoya Protocol;

2. *Decides* to set up the following trust funds for the Nagoya Protocol for a period of three years, beginning 1 January 2015 and ending 31 December 2017, and *requests* the Executive Director of the United Nations Environment Programme to seek the approval of the United Nations Environment Assembly for their establishment:

(a) Trust Fund for the core programme budget for the Nagoya Protocol (BYP¹ Trust Fund);
and

(b) Special Voluntary Trust Fund (BEP Trust Fund) for Additional Voluntary Contributions in Support of Approved Activities;

3. *Approves* a core programme budget (BYP) of US\$ 290,200 for the year 2015 and of US\$ 985,500 for the year 2016, for the purposes set out in table 1 below;

4. *Approves* the Secretariat staffing as set out in table 2 below;

5. *Decides* to adopt the Nagoya Protocol scale of assessment for the apportionment of the distinct costs among Parties to the Protocol for 2015 and 2016 set out in table 4 below and *authorizes* the Executive Secretary, in keeping with the financial rules, to adjust the list of Parties on receipt of notification from the depositary that a State has deposited an instrument of ratification, acceptance, approval or accession;

¹ The “BYP” and “BEP” Trust Fund designations used in the present document are subject to change by the Trustee and are used here purely for the convenience of delegations attending the meeting.

6. *Authorizes* the Executive Secretary, on an exceptional basis, to amend the 2016 scale to include all Parties for which the Protocol enters into force on or before 31 December 2015;

7. *Decides also*, in the light of the recommendation of the Office of Internal Oversight Services (OIOS) in its report on the Secretariat of the Convention on Biological Diversity, to establish a working capital reserve for the core budget (BYP Trust Fund) that will build up to 7.5 per cent of approved biennial expenditure, including programme support costs by the 31 December 2016;

8. *Decides further* that the *pro rata* contributions of Parties for whom the protocol enters into force after 1 January 2015 will be used in the first instance to build the required working capital reserve and in this regard *welcomes* paragraph [9] of decision XII/[BUDGET] of the Conference of the Parties;

9. *Authorizes* the Executive Secretary to enter into commitments up to the level of the approved budget, drawing on available cash resources;

10. *Also authorizes* the Executive Secretary to transfer resources among the programmes between each of the main appropriation lines set out in table 1 below up to an aggregate of 15 per cent of the total programme budget, provided that a further limitation of up to a maximum of 25 per cent of each such appropriation line shall apply;

11. *Invites* all Parties to the Protocol to note that contributions to the core programme budget (BYP) are due on 1 January of the year in which these contributions have been budgeted for, and to pay them promptly, and *urges* Parties in a position to do so, to pay by 1 December of the year 2014 for the calendar year 2015 and by 1 November 2015 for the calendar year 2016, the contributions set out in table 4, as adjusted in accordance with paragraph 6, and in this regard requests that Parties be notified of the amount of their contributions for 2016 by 15 October 2015;

12. *Takes note* of the funding estimates in table 3 for activities under the Protocol to be financed from the Special Voluntary Trust Fund (BEP) for Additional Voluntary Contributions in Support of Approved Activities for the biennium 2015-2016, as specified by the Executive Secretary;

13. *Urges* Parties and *invites* all States not Parties to the Protocol, as well as governmental, intergovernmental and non-governmental organizations and other sources, to contribute to the trust fund for the Protocol (BEP) to enable the Secretariat to implement approved activities in a timely manner;

14. *Takes note* of decision XII/[BUDGET] making available funds from the merged Special Voluntary Trust Funds (BZ and BI) to also facilitate the participation of Parties in meetings related to the Nagoya Protocol and *agrees*, in view of the decision to hold the ordinary meetings of the Convention on Biological Diversity and its Protocols concurrently, to use these funds to facilitate the participation of developing country Parties, in particular the least developed countries and small island developing States as well as Parties with economies in transition;

15. *Reaffirms* the importance of full and effective participation of the developing country Parties, in particular the least developed countries and small island developing States, as well as Parties with economies in transition, in the meetings of the Convention and its Protocols and, in this context, *requests* the Executive Secretary to take into account the relevant decisions of the Conference of the Parties and the meetings of the Parties to its Protocols on concurrent meetings and improving the efficiencies of the structures and processes of the Convention and its Protocols;²

16. *Requests* the Secretariat to remind Parties of the need to contribute to the Special Voluntary Trust Fund (BZ) at least six months prior to the ordinary meetings of the Convention and its Protocols;

17. *Requests* the Executive Secretary to prepare and submit a programme budget for the distinct costs of Secretariat services and the work programme of the Protocol for the biennium 2017-2018 to the second meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol, based on two alternatives:

² Decisions XII/XX, BS-VII/YY and NP-I/ZZ.

(a) Making an assessment of the required rate of growth for the programme budget (BYP Trust Fund); and

(b) Maintaining the programme budget (BYP Trust Fund) at the 2015–2016 level in nominal terms and adding the costs of the posts paid for from voluntary contributions in 2015-2016;

18 *Requests* the Executive Secretary to include in the scenarios, proposals on a pro rata division between the Convention and its two Protocols of the shared operative costs following discussions on the implementation of the Functional Review of the Secretariat;

19. *Also requests* the Executive Secretary to report on income and budget performance, unspent balances and the status of surplus as well as any adjustments made to the Protocol budget for the biennium 2015-2016 and to provide to the Conference of the Parties serving as the meeting of the Parties to the Protocol all financial information regarding the budget for the Convention on Biological Diversity at the same time as it is provided to Parties to the Convention.

Table 1. Biennium budget of the Trust Fund (BYP) for the Nagoya Protocol on Access and Benefit Sharing 2015-2016

Descriptions of Expenditures		2015 (US\$) <i>(thousands)</i>	2016 (US\$) <i>(thousands)</i>	TOTAL (US\$) <i>(thousands)</i>
I	Staff Costs*	171.8	428.2	600.0
	Bureaux Meetings	35.0	35.0	70.0
	Compliance Committee Meetings	0.0	30.0	30.0
	Clearing House Informal Advisory			
	Group Meetings	30.0	30.0	60.0
	Second meeting of the Parties (COP-MOP/2)	0.0	328.9	328.9
	ABS Clearing House Translation Costs	20.0	20.0	40.0
	Sub-total	256.8	872.1	1,128.9
II	Programme Support Cost (13%)	33.4	113.4	146.8
	TOTAL BUDGET (I + II)	290.2	985.5	1,275.7
III	Working Capital Reserve**	0.0		0.0
	TOTAL BUDGET (II + III)	290.2	985.5	1,275.7

* Additionally 1P-3 and 1P-2 ABS-CH posts paid for from voluntary funds in 2015; 1 P-3 Monitoring and Reporting post paid from voluntary funds in 2015.

1P3 ABS-CH post paid for from voluntary funds in 2016; Differential costs for upgrade of P-2 post to P-3 for Monitoring and Reporting paid from voluntary funds in 2015-2016.

** Working capital reserve set at zero

**Table 2. Secretariat staffing requirements for the Nagoya Protocol on Access and Benefit Sharing
2015-2016**

		2015	2016
A.	Professional Category		
	P - 3	1	2
	P - 2	0	1
	Total Professional Category	1	3
B.	Total General Service Category	0	0
	TOTAL (A + B)	1	3

Table 3. Special Voluntary Trust Fund (BEP) For Additional Voluntary Contributions in Support of Approved Activities of the Nagoya Protocol for the 2015-2016 biennium

(Thousands of United States dollars)

<i>I. Description</i>	<i>2015-2016</i>
1. MEETINGS/WORKSHOPS	
Nagoya Protocol on Access and Benefit sharing	
Expert Meetings on Article 10 ¹	60
Informal Advisory Committee on Capacity-building for the Nagoya Protocol	120
Coordination meeting on capacity-building for the Nagoya Protocol	60
Expert meetings on the ABS CH (2)	120
Regional and subregional capacity-building workshops (6)	480
2. STAFF ²⁻⁵	
Programme Officer (P-3) ABS-CH	347
Associate Programme Officer (P2) ABS-CH	124
Programme Officer (P-3) Monitoring and Reporting	220.5
3. TRAVEL COSTS	
Nagoya Protocol	30
4. RESOURCE PERSONS	
<i>Short-term Staff/Temporary Assistance</i>	
Nagoya Protocol	30
<i>Consultants/Sub-Contracts</i>	
Nagoya Protocol ¹ /	120
5. PUBLICATIONS/REPORT PREPARATION/PRINTING	
Nagoya Protocol	160
<i>Sub-total I</i>	1,871.5
<i>II. Programme support costs (13%)</i>	243.3
TOTAL COST (I + II)	2,114.8

¹ Funds pledged by Norway for Expert meeting and Study on Article 10.

² Funding pledged by the European Union for 1 P-3 post in 2015-2016 and 1/2 P-2 post in 2015 on the ABS-CH.

³ Funding pledged by Switzerland for 1/2 P-2 post in 2015 on the ABS-CH.

⁴ Funding pledged in 2015 by Norway (1/2) and the European Union (1/2) for cost of P-2 post for Monitoring and Reporting.

⁵ Funding pledged by the European Union (2015-2016) for upgrade of post on Monitoring and Reporting from P-2 to P-3.

Table 4. Indicative Contributions to the Trust Fund for the Nagoya Protocol on Access and Benefit Sharing for the biennium 2015-2016*

<i>Parties</i>	<i>UN scale of assessments 2015 (percentage)</i>	<i>Scale with 22% ceiling, no LDC paying more than 0.01 % (per cent)</i>	<i>Contributions per 1 Jan. 2015 (US\$)</i>	<i>UN scale of assessments 2015 (percentage)</i>	<i>Scale with 22% ceiling, no LDC paying more than 0.01 % (per cent)</i>	<i>Contributions as per 1 Jan. 2016^a (US\$)</i>	<i>Total contributions 2015-2016 (US\$)</i>
Albania	0.010	0.103	300	0.010	0.103	1,018	1,318
Belarus	0.056	0.579	1,680	0.056	0.579	5,703	7,383
Benin	0.003	0.010	29	0.003	0.010	99	128
Bhutan	0.001	0.010	30	0.001	0.010	102	132
Botswana	0.017	0.176	510	0.017	0.176	1,731	2,241
Burkina Faso	0.003	0.010	29	0.003	0.010	99	128
Burundi	0.001	0.010	30	0.001	0.010	102	132
Comoros	0.001	0.010	30	0.001	0.010	102	132
Cote d'Ivoire	0.011	0.114	330	0.011	0.114	1,120	1,450
Denmark	0.675	6.977	20,245	0.675	6.976	68,747	88,993
Egypt	0.134	1.385	4,019	0.134	1.385	13,648	17,667
Ethiopia	0.010	0.010	29	0.010	0.010	99	128
European Union		2.500	7,255		2.500	24,638	31,892
Fiji	0.003	0.031	90	0.003	0.031	306	396
Gabon	0.020	0.207	600	0.020	0.207	2,037	2,637
Gambia	0.001	0.010	30	0.001	0.010	102	132
Guatemala	0.027	0.279	810	0.027	0.279	2,750	3,560
Guinea ^b			0	0.001	0.010	102	102
Guinea-Bissau	0.001	0.010	30	0.001	0.010	102	132
Guyana	0.001	0.010	30	0.001	0.010	102	132
Honduras	0.008	0.083	240	0.008	0.083	815	1,055
Hungary	0.266	2.749	7,978	0.266	2.749	27,092	35,070
India	0.666	6.884	19,975	0.666	6.883	67,831	87,806
Indonesia	0.346	3.576	10,378	0.346	3.576	35,239	45,617
Jordan	0.022	0.227	660	0.022	0.227	2,241	2,901
Kenya	0.013	0.134	390	0.013	0.134	1,324	1,714
Lao People's Democratic Republic	0.002	0.010	29	0.002	0.010	99	128
Madagascar	0.003	0.010	29	0.003	0.010	99	128
Malawi	0.002	0.010	29	0.002	0.010	99	128
Mauritius	0.013	0.134	390	0.013	0.134	1,324	1,714
Mexico	1.842	19.039	55,248	1.842	19.036	187,604	242,851
Micronesia (Federated States of)	0.001	0.010	30	0.001	0.010	102	132
Mongolia	0.003	0.031	90	0.003	0.031	306	396
Mozambique	0.003	0.010	29	0.003	0.010	99	128
Myanmar	0.010	0.010	29	0.010	0.010	99	128
Namibia	0.010	0.103	300	0.010	0.103	1,018	1,318
Niger	0.002	0.010	29	0.002	0.010	99	128
Norway	0.851	8.796	25,524	0.851	8.795	86,673	112,197
Panama	0.026	0.269	780	0.026	0.269	2,648	3,428
Peru	0.117	1.209	3,509	0.117	1.209	11,916	15,425
Rwanda	0.002	0.010	29	0.002	0.010	99	128
Samoa	0.001	0.010	30	0.001	0.010	102	132
Seychelles	0.001	0.010	30	0.001	0.010	102	132
South Africa	0.372	3.845	11,157	0.372	3.844	37,887	49,045
Spain	2.973	22.000	63,840	2.973	22.000	216,813	280,653
Sudan	0.010	0.010	29	0.010	0.010	99	128

<i>Parties</i>	<i>UN scale of assessments 2015 (percentage)</i>	<i>Scale with 22% ceiling, no LDC paying more than 0.01 % (per cent)</i>	<i>Contributions per 1 Jan. 2015 (US\$)</i>	<i>UN scale of assessments 2015 (percentage)</i>	<i>Scale with 22% ceiling, no LDC paying more than 0.01 % (per cent)</i>	<i>Contributions as per 1 Jan. 2016^a (US\$)</i>	<i>Total contributions 2015-2016 (US\$)</i>
Switzerland	1.047	10.822	31,403	1.047	10.820	106,635	138,038
Syrian Arab Republic	0.036	0.372	1,080	0.036	0.372	3,667	4,746
Tajikistan	0.003	0.031	90	0.003	0.031	306	396
Uganda	0.006	0.010	29	0.006	0.010	99	128
United Arab Emirates	0.595	6.150	17,846	0.595	6.149	60,600	78,446
Uruguay	0.052	0.537	1,560	0.052	0.537	5,296	6,856
Vanuatu	0.001	0.010	30	0.001	0.010	102	132
Viet Nam	0.042	0.434	1,260	0.042	0.434	4,278	5,537

TOTAL	10.322	100.000	290,184	10.323	100.000	985,512	1,275,696
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* Contributions from new Parties joining in 2015 will be used to build the Working Capital Reserve of the Trust Fund.

^a A new scale will be issued for 2016 contributions in October 2015 reflecting new Parties as at 1 January 2016.

^b Guinea will be invoiced in 2015 on a pro-rata basis.